

AUDIT AND RISK COMMITTEE

A meeting of the committee will be held at **1515 hours** on **Monday 3rd October 2016**, in the Boardroom, QMB Innovation Centre, Whitechapel Campus.

The meeting will be preceded by an *in camera* meeting for members with the Internal Auditors at 1500 hours.

DRAFT AGENDA

Part 1: Introduction		Paper	Committee Lead	Lead
1.	Welcome and apologies	Oral report	D Willis	N/A
2.	Meeting of 02 June 2016: <ul style="list-style-type: none"> Non-confidential minutes Confidential minutes Executive summary 	ARC2016/01	D Willis	R Soper
3.	Matters arising, including: <ul style="list-style-type: none"> Due diligence processes 	ARC2016/02	D Willis	R Soper
Part 2: Risk Management				
4.	Strategic risk management	ARC2016/04	N Choudhary	Prof. E Burke
5.	Whistleblowing cases since the last meeting	Oral report	D Willis	J Jones
Part 3: External Audit				
6.	External audit: <ul style="list-style-type: none"> Update on known actions and 2016 interim comments Audit of restated 31st July 2014 opening balance sheet and 2014-15 Financial Statements (FRS102) 	Oral report	K Barrow	J Jones/ Deloitte
		Oral report	K Barrow	Deloitte
Part 4: Statutory and Regulatory Compliance				
7.	Report on data quality and returns to HEFCE, HESA and the SLC	Presentation	D Willis	L Gibbs/A Anderson
8.	Modern Slavery Act	ARC2016/05	D Willis	L Gibbs
9.	Changes to the memorandum of assurance and accountability to take effect from 01 August 2016	ARC2016/06	D Willis	J Morgan

Part 5: Internal Audit

10.	Internal audit reports: <ul style="list-style-type: none">• Health and safety• Research overhead recovery	ARC2016/07	M Tatton	KPMG
11.	Progress report on internal audit recommendations	ARC2016/08	M Tatton	L Gibbs
12.	Internal Audit Annual Report 2015–16	ARC2016/09	M Tatton	KPMG
13.	Internal Audit Annual Plan 2016-17	ARC2016/10	M Tatton	KPMG
14.	Internal audit services tender timetable and process	ARC2016/11	D Willis	L Gibbs

Part 6: Financial Control

15.	Fraud/financial irregularities occurring since the last meeting	Oral report	D Willis	J Jones
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Part 7: Committee Management and Reporting

16.	*Audit and Risk Committee Annual Report 2015–16 Draft 1	ARC2016/12	D Willis	R Soper
17.	*Draft agenda for the next meeting	ARC2016/13	D Willis	R Soper
18.	Any other business	N/A	D Willis	N/A
19.	Dates of meetings 2016–17 <ul style="list-style-type: none">➤ Thursday 10 November 2016 at 1500 hours, Robert Tong Room, Queens' Building, Mile End.➤ Tuesday 07 February 2017 at 1500 hours, Robert Tong Room, Queens' Building, Mile End.➤ Thursday 01 June 2017 at 1500 hours, Robert Tong Room, Queens' Building, Mile End.			

*will be taken without discussion unless a request to open the item for debate is sent to the Chairman or Secretary prior to the start of the meeting.